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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
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10/665,133

09/22/2003

Masao Oota

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10/05/2006

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EXAMINER

YU, JAE UN

ART UNIT

PAPER NUMBER

2185

DATE MAILED: 10/05/2006

Please find below and/or attached an Office communication concerning this application or proceeding.

<b>Office Action Summary</b>	<b>Application No.</b> 10/665,133	<b>Applicant(s)</b> OOTA, MASAO	
	<b>Examiner</b> Jae U. Yu	<b>Art Unit</b> 2185	

-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --

#### Period for Reply

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) OR THIRTY (30) DAYS, WHICHEVER IS LONGER, FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

#### Status

- 1) ☒ Responsive to communication(s) filed on 22 September 2003.
- 2a) ☐ This action is **FINAL**.                      2b) ☒ This action is non-final.
- 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

#### Disposition of Claims

- 4) ☒ Claim(s) 1-23 is/are pending in the application.
- 4a) Of the above claim(s) \_\_\_\_\_ is/are withdrawn from consideration.
- 5) ☐ Claim(s) \_\_\_\_\_ is/are allowed.
- 6) ☒ Claim(s) 1-23 is/are rejected.
- 7) ☐ Claim(s) \_\_\_\_\_ is/are objected to.
- 8) ☐ Claim(s) \_\_\_\_\_ are subject to restriction and/or election requirement.

#### Application Papers

- 9) ☐ The specification is objected to by the Examiner.
- 10) ☒ The drawing(s) filed on 22 September 2003 is/are: a) ☐ accepted or b) ☐ objected to by the Examiner.  
Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).  
Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).
- 11) ☐ The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

#### Priority under 35 U.S.C. § 119

- 12) ☒ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
- a) ☒ All    b) ☐ Some \*    c) ☐ None of:
1. ☒ Certified copies of the priority documents have been received.
  2. ☐ Certified copies of the priority documents have been received in Application No. \_\_\_\_\_.
  3. ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).

\* See the attached detailed Office action for a list of the certified copies not received.

#### Attachment(s)

- |  |   |
|--|---|
| 1) <input checked="" type="checkbox"/> Notice of References Cited (PTO-892)  | 4) <input type="checkbox"/> Interview Summary (PTO-413)<br>Paper No(s)/Mail Date. _____ |
| 2) <input type="checkbox"/> Notice of Draftsperson's Patent Drawing Review (PTO-948)   | 5) <input type="checkbox"/> Notice of Informal Patent Application                       |
| 3) <input checked="" type="checkbox"/> Information Disclosure Statement(s) (PTO/SB/08)<br>Paper No(s)/Mail Date <u>9/22/03</u> . | 6) <input type="checkbox"/> Other: _____  |

### **DETAILED ACTION**

The instant application having Application No. 10665133 has a total of 23 claims pending in the application, there are 4 independent claims and 19 dependent claims, all of which are ready for examination by the examiner.

#### ***Status of Claim for Priority in the Application***

As required by M.P.E.P. 201.14 (c), acknowledgement is made of applicant's claim for priority based on Japanese patent application filed on 9/30/2002.

#### ***Information Disclosure Statement***

As required by M.P.E.P. 609 C(2), the applicant's submission of the Information Disclosure Statement dated 9/22/2003 is acknowledged by the examiner and the cited references have been considered in the examination of the claims now pending. As required by M.P.E.P. 609 C(2), a copy of the PTOL-1449 initialed and dated by the examiner is attached to the instant office action.

#### ***Claim Objections***

1. **Claim 7** recites, "valueselecting" in line 5. The examiner suggests to put a space between the "value" and the "selecting".

#### ***Claim Rejections - 35 USC § 102***

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The following is a quotation of the appropriate paragraphs of 35 U.S.C. 102 that form the basis for the rejections under this section made in this Office action:

A person shall be entitled to a patent unless –

(e) the invention was described in (1) an application for patent, published under section 122(b), by another filed in the United States before the invention by the applicant for patent or (2) a patent granted on an application for patent by another filed in the United States before the invention by the applicant for patent, except that an international application filed under the treaty defined in section 351(a) shall have the effects for purposes of this subsection of an application filed in the United States only if the international application designated the United States and was published under Article 21(2) of such treaty in the English language.

1. Claims 1, 3, 11-18 and 21-23 are rejected under 35 U.S.C. 102(e) as being anticipated by Boivie et al. (US 2003/0235155).

2. **Independent claims 1 and 21** disclose, “a computer-readable medium storing a program **[a programmed product stored in a media executable by a digital data processor incorporating a CPU, Paragraph 50]** used to direct a computer to control processes of dividing redundant data into a plurality of volumes **[dividing data into a plurality of packets for transmission, Abstract]** in a system comprising storage units scattered through a network **[nodes scattered through a network, Figure 1]**, and distributing and storing volumes in a plurality of storage units **[routing data packets among the nodes, Paragraph 42]**”.

“Computing an evaluation value indicating desirability of each of the scattered storage units to be used **[A routing destination is determined from the “combination of parameters” (Paragraph 42).]** based on a bandwidth **[network bandwidth, Paragraph 42]**, a communications cost **[noise of the intercommunication links,**

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**Paragraph 42]**, and a physical distance between a node to which a write request is issued and a storage unit **[distance between the transmitting node and a receiving node, Paragraph 42]**; and selecting a plurality of storage units as an optimum storage set **[selecting an optimum path for data transmission comprising a plurality of nodes, Paragraph 42]** from among the scattered storage units based on the evaluation value”

3. **Independent claims 22 and 23** have the same scope as claim 21, wherein the “route management means” and the “storage set management means” correspond to the “route management” 504 and the “storage set management” 505” in Figure 4 of the specification. Therefore, claims 22 and 23 are rejected by the same reasons as claims 1 and 21.

4. **Claim 3** discloses, “providing the storage unit as a virtual storage unit **[“virtual network”, Paragraph 6]** for a user of the system”.

5. **Claim 11** discloses, “when a fault occurs in the first storage unit in the storage set, limiting a write to other storage units in the storage set **[Since the data packets are routed in cascaded series of nodes, if a fault occurs in one of the nodes, the transmission to the following nodes is inherently limited, Paragraph 42]**”.

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6. **Claim 12** discloses, “when a fault occurs in a third storage unit in the storage set **[A “neighbor” goes down, Paragraph 38]**, selecting based on the evaluation value a fourth storage unit other than a storage unit selected as the storage set instead of the third storage unit **[acquiring new routes through a new neighbor, Paragraph 38]**”.

7. **Claim 13** discloses, “after selecting the storage set, reselecting a storage set in each node at a predetermined timing **[routers adapting to changes in the underlying network topology by periodically determining the changes in distances, Paragraph 32]**; and when there is a volume not used by any node as a result of reselection, deleting the volume from a storage unit **[a router with undesirable distance no longer serves as a data path for the host, Paragraph 32]**”

8. **Claim 14** discloses, “the predetermined timing refers to a predetermined period after previous selection **[“re-ping” periodically, Paragraph 32]** or a timing of changing a state of a volume”.

9. **Claim 15** discloses, “after reading the data, temporarily storing the data **[data packets temporarily reside in a router before transmission, Paragraph 42]** for a predetermined period **[latency within the router]** in an arbitrary storage unit; and when data is read within the predetermined period, reading temporarily stored data from the storage unit **[the data transmission, Paragraph 42]**”.

10. **Claim 16** discloses, “temporarily storing data specified in a write request within a predetermined period **[storing data packets in a node with the next best “distance” when a node with the best “distance” is congested, Paragraph 42 & 32]** in a temporarily storage area; retrieving data from the temporary storage area after the predetermined period **[retrieving the data packets from the node with the “next best distance”, Paragraph 42]**”.

“Dividing the data into a plurality of volumes; and writing the plurality of volumes in the storage set **[dividing data into a plurality of packets for transmission, Abstract]**”

11. **Claim 17** discloses, “when a reading or writing process is performed on data including the temporarily stored data **(See the rejection for claim 15 above)**, reading or writing only a portion of data not containing the temporarily stored data **[data packets routed in a different path than the path containing the “temporary storage”, Figure 1]**”.

12. **Claim 18** discloses, “when the plurality of volumes are written to the storage set, prohibiting a write process on the storage set until a write is completed for a node to which the write request is issued **[looking for other storage set if the current storage set is congested, Paragraph 42]**”.

The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negated by the manner in which the invention was made.

1. Claim 2 is rejected under 35 U.S.C. 103(a) as being unpatentable over Boivie et al. (US 2003/0235155) in view of Winter et al. (US 2004/0008691).

2. As per claim 2, Boivie et al. disclose, "in computing the evaluation value, a bandwidth, a communications cost, and a physical distance between a node to which a write request is issued and a storage unit [**See the rejection for claim 1 above**]".

Boivie et al. do not disclose expressly, "a hop count".

**Winter et al. disclose, "a hop count" in paragraph 37.**

Boivie et al. and Winter et al. are analogous art because they are from the same field of endeavor of data routing.

At the time of the invention it would have been obvious to a person of ordinary skill in the art to modify Boivie et al. by determining data routing path based on "a hop count" as taught by Winter et al. in paragraph 37.



The motivation for doing so would have been that a hop-count based routing scheme is advantageous in applications requiring lower latency as expressly taught by Winter et al. in paragraph 37.

Therefore, it would have been obvious to combine Winter et al. with Boivie et al. for the benefit of providing low latency routing scheme to obtain the invention as specified in claim 2.

3. Claims 4-10 are rejected under 35 U.S.C. 103(a) as being unpatentable over Boivie et al. (US 2003/0235155) in view of Baxter, III (US 6,865,643), referred to as "Baxter" herein after.

4. As per claim 4, Boivie et al. disclose, "the data is read from the storage set" (See the rejection for claim 1 above).

Boivie et al. do not disclose expressly, "reading from each storage unit volumes not containing a redundant portion among the plurality of volumes written to the storage set; and reconstituting the data using the read volumes".

**Baxter discloses reading data from RAID volumes based on a priority in the abstract, wherein the "redundant portion" ("parity" for RAID, Column 1, Lines 35-55) is only read when a primary system fails.**

Boivie et al. and Baxter are analogous art because they are from the same field of endeavor of data communication.

At the time of the invention it would have been obvious to a person of ordinary skill in the art to modify Boivie et al. by including a RAID system implemented with a priority scheme as taught by Baxter in the abstract.

The motivation for doing so would have been to provide parallel read/write operations as expressly taught by Baxter in column 1, at lines 25-35.

Therefore, it would have been obvious to combine Baxter with Boivie et al. for the benefit of parallel input/output to obtain the invention as specified in claim 4.

5. As per **claim 5**, Boivie et al. disclose, “when the data is read, a use priority indicating high response based on the bandwidth and the cost for each storage unit **[indicating the next hop based on the bandwidth and the noise of the intercommunication links, Paragraph 42]**”.

Boivie et al. do not disclose expressly, “determining which volumes among the plurality of volume are to be read from each storage unit as volumes not containing a redundant portion based on the use priority”.

**Baxter discloses reading data based on a priority in the abstract, wherein the “redundant portion” (“parity” for RAID, Column 1, Lines 35-55) is only read when a primary system fails.**

Boivie et al. and Baxter are analogous art because they are from the same field of endeavor of data communication.

At the time of the invention it would have been obvious to a person of ordinary skill in the art to modify Boivie et al. by including a RAID system implemented with a priority scheme as taught by Baxter in the abstract.

The motivation for doing so would have been to provide parallel read/write operations as expressly taught by Baxter in column 1, at lines 25-35.

Therefore, it would have been obvious to combine Baxter with Boivie et al. for the benefit of parallel input/output to obtain the invention as specified in claim 5.

6. As per **claim 6**, Boivie et al. discloses, “a first volume in the plurality of volumes in a storage unit” and “the storage set (See the rejection for claim 1 above)”.

Boivie et al. do not disclose expressly, “storing a replica of a first volume”.

**Baxter discloses, “mirroring” in column 1, at lines 35-55.**

Boivie et al. and Baxter are analogous art because they are from the same field of endeavor of data communication.

At the time of the invention it would have been obvious to a person of ordinary skill in the art to modify Boivie et al. by including a RAID system implemented with a mirroring scheme as taught by Baxter in the abstract.

The motivation for doing so would have been to provide parallel read/write operations as expressly taught by Baxter in column 1, at lines 25-35.

Therefore, it would have been obvious to combine Baxter with Boivie et al. for the benefit of parallel input/output to obtain the invention as specified in claim 6.

7. **Claim 7** discloses, "when a replica of the first volume is generated, based on the evaluation value selecting a method from between two generating method based on the evaluation value, that is, replicating the first volume from a storage unit storing the first volume [if **"the first volume" has the best "distance", Paragraph 42, Boivie et al.**], and regenerating the first volume using redundancy [generating a replica of the **"first volume" using the first mirror of the "first volume", Column 1, Lines 35-55, Baxter**] from volumes other than the first volume in the plurality of volumes [if **"the first mirror" has the best "distance", Paragraph 42, Boivie et al.**]".

8. **Claim 8** discloses, "selecting a storage unit storing a replica of the volume [selecting an optimum path for data transmission comprising a plurality of nodes, Paragraph 42, Boivie et al.] from among the storage units [storage units designated as **"mirrors", Column 1, Lines 35-55, Baxter**] not selected as the storage set based on the evaluation value".

9. **Claim 9** discloses, “writing a volume in a multicast system [**“virtual network”, Figure 1, Boivie et al.**] to a plurality of storage units [**“striping”, Column 1, Lines 35-55, Baxter**] storing the same volume”.
10. **Claim 10** discloses, “when a replica of the first volume is written to a storage unit [**“mirroring”, Column 35-55, Baxter**], a writing process is performed in plural operations [**“striping”, Column 1, Lines 35-55, Baxter**]”.
11. **Claims 19 and 20** are rejected under 35 U.S.C. 103(a) as being unpatentable over Boivie et al. (US 2003/0235155) in view of Carteau (US 6,606,694).
12. As per **claim 19**, Boivie et al. disclose, “prohibiting a writing process in the plurality of storage units (See the rejection for claim 18 above)”.

Boivie et al. do not disclose expressly, “determining a storage unit as a representative storage unit from among a plurality of storage units storing the same volumes”.

**Carteau discloses mirroring data among a plurality of disks, wherein the “primary” disk corresponds to the “representative storage unit in column 1, at lines 46-58”.**

Boivie et al. and Carteau are analogous art because they are from the same filed of endeavor of networked storage system.

At the time of the invention it would have been obvious to a person of ordinary skill in the art to modify Boivie et al. by including the mirroring scheme taught by Carteau in column 1, at lines 46-58.

The motivation for doing so would have been to facilitate copies of different states of a database as expressly taught by Carteau in column 2, at liens 15-20.

Therefore, it would have been obvious to combine Carteau with Boivie et al. for the benefit of keeping up with state changes of a database to obtain the invention as specified in claim 19.

13. **Claim 20** discloses, “the representative storage unit is a storage unit for storing a volume as original data”. **Carteau discloses mirroring data among a plurality of disks, wherein the “primary” disk corresponds to the “original storage unit in column 1, at lines 46-58”.**

### ***Conclusion***

#### **A. Claims Rejected in the Application**

Per the instant office action, claims 1-23 have received a first action on the merits and are subject of a first action non-final.

B. Direction of Future Correspondences

Any inquiry concerning this communication or earlier communications from the examiner should be directed to Jae Un Yu who is normally available from 9:00 A.M. to 5:30 P.M. Monday thru Friday and can be reached at the following telephone number: (571) 272-1133.

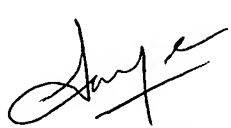
If attempts to reach the above noted examiner by telephone are unsuccessful, the Examiner's supervisor, Sanjiv Shah, can be reached at the following telephone number: (571) 272-4098.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see <http://pair-direct.uspto.gov>. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free). If you would like assistance from a USPTO Customer Service Representative or access to the automated information system, call 800-786-9199 (IN USA OR CANADA) or 571-272-1000.

9/29/2006

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